

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Bhattal et al

Serial No: To be assigned

Filed: Herewith

Title: **RECOVERY FOLLOWING PROCESS OR SYSTEM FAILURE**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

Gerald R. Woods

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Form PTO-1449

Docket No: GB920000094US1

Application No:

INFORMATION DISCLOSURE
CITATION IN AN APPLICATION

Applicant: Bhattal et al

(Use several sheets if necessary)

Filing Date: Herewith

Group Art Unit: To be assigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Patentee	Class	Subclass	Filing Date if Appropriate
B.P	1A	5,797,005	08.18.98	Bahls et al	395	680	12.30.94
B.P	1B	5,887,168	03.23.99	Bahls et al	395	680	06.02.95
B.P	1C	60/220685	Provisional Application	Kettley et al			07/25/00
	1D						
	1E						
	1F						

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country of Patent Office	Class	Subclass	Translation Yes/No
	2A						
	2B						
	2C						
	2D						
	2E						
	2F						
	2G						
	2H						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc)

Examiner Initial		
	3A	Brenda A. Pham April 25, 2005
	3B	
	3C	
	3D	

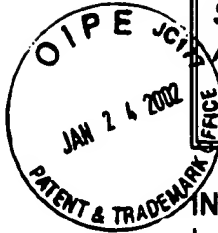
Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

GAW 2661
23
03292

DOCKET NUMBER:GB920000094US1



I hereby certify this correspondence is being deposited with the United States Postal service as First Class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

on Nov. 7, 2001,

by Linda Dupont

Linda Dupont

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : Nov. 7, 2001
Bhattal, A. et al : IBM Corp. - T81/503-3
Serial # : 09/844002 : PO Box 12195
Filed: April 27, 2001 : Res. Tri. Park, NC 27709
For: Recovery Following Process : Group Art Unit: 2661
: Customer Number: 25259
: Examiner: To be assigned

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JAN 30 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks
Washington DC 27709
Sir:

In recognition of applicant's continuing duty of disclosure, attached are copies of references cited by the United Kingdom Patent Office in a counterpart foreign application.

"I hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement".

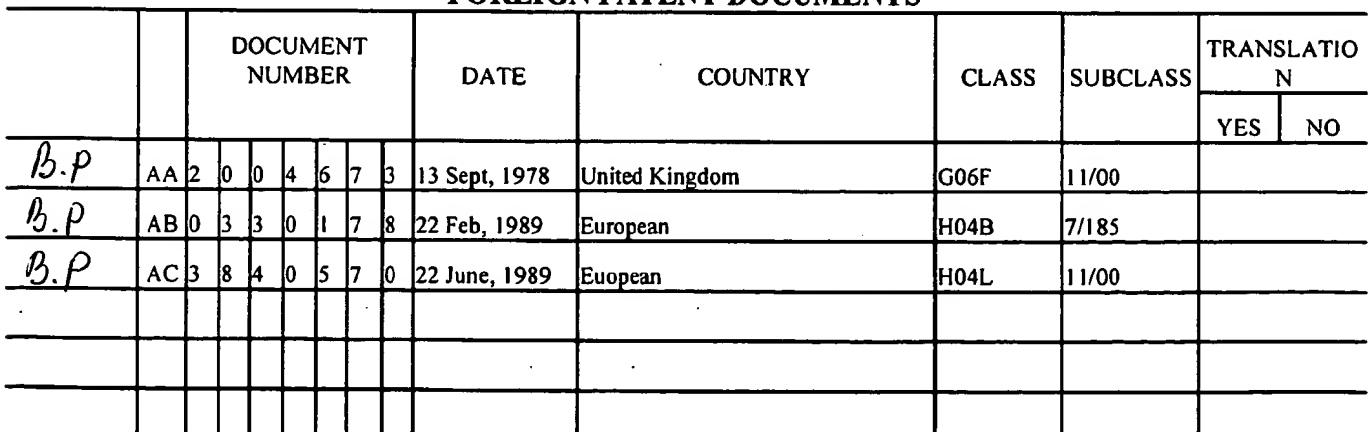
We respectfully request that the document be considered and officially cited in the subject application in accordance with MPEP 609.

Respectfully Submitted,

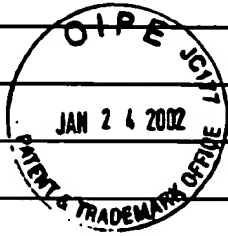
Gerald R Woods

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Reg. 24,144
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)



EXAMINER

Brenda A. Pham

DATE CONSIDERED

April 26, 2005

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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JAN 30 2002

Technology Center 2600



2664
ZFW

PATENT

IBM Docket No. GB920000094US1

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by Linda Dupont *Linda Dupont*

In the United States Patent and Trademark Office.

Date: Feb. 17, 2005

In re Application of: Amardeep Singh Bhattal et al.

Filed: April 27, 2001

For: Recovery Following Process or System Failure

Serial Number: 09/844,002

Art Unit: 2664

Examiner: Pham, Brenda H.

Information Disclosure Statement

Hon. Commissioner of Patents and Trademarks
Alexandria, VA 22313-1450

Sir:

In recognition of applicant's continuing duty of disclosure, attached are copies of references (or English equivalents) cited by the JPO Examiner in a counterpart foreign application.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. 1.98(a)(1).



PATENT
IBM Docket No. GB920000094US1

A copy of each of the required items on PTO-1449 are supplied herewith, except for any U.S. patent or patent applications, for which no copies are provided herein.

Respectfully Submitted,

Gerald R Woods

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Form PTO-1449

(Rev. 2-88)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GB920000094US1APPLICATION NO.
09/844,002INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Amardeep Singh Bhattal et al..FILING DATE
April 27, 2001GROUP
2664

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER'S INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
B.P	aa	11-112609	4/23/99	Japan	H04L	29/14	Y	
B.P	ab	2000-57095	2/25/2000	Japan	G06F	15/00	Y	
B.P	ac	09-319708	2/25/2000	Japan	G06F	15/00	Y	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

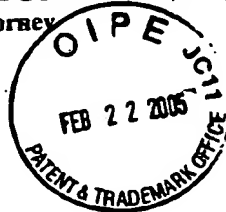
B.P		ac	IBM Manual, "MQSeries Intercommunication, " form number SC88-775-02, published by IBM Japan, April 30, 1999, pp. 3-12.					

Brenda A. Pham

April 25, 2005

* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*** Information Materials for IDS ***

Glu

To RSW I P Law Docket Number GB9-2000-0094 Date 2005/1/19Prepared by Yukiko Gonzalez Date of JPO Office Action 2004/11/02**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
^A BP	PUPA: 11-112609	04/23/99	Y	1,4,6-11
^B BP	PUPA: 2000-57095	02/25/00	Y	1-4,6,7,10
^C BP	"MQSeries Mutual communication" I.B.M JP, pp3-12	04/30/99	N	1,4,6,7,10
D				
E				
F				

<NOTE>

to be continued ☐

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ * : Reference being filed before and published after the priority application date of the subject docket

Background Art (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA BP	PUPA: 09-319708	bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

Brenda A. Pham

to be continued ☐